

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Robert E. Parks et al.	Attorney Docket No.: D-2K041A
Serial No.: (Filed Herewith)	Group Art Unit:
Filed: (Filed Herewith)	Examiner:
Title: POINT SOURCE MICROSCOPE: DEVICE, METHODS AND ASSEMBLY PROCEDURES	

Commissioner for Patents  
Washington, D.C. 20231



**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
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☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Label No.:

Date of Deposit: October 9, 2001

Typed Name: Mary Urbach

Signature: Mary Urbach

Respectfully submitted,

David W. Collins

David W. Collins

Attorney/Agent for Applicant(s)

Reg. No. 26,857

Date: October 9, 2001

Telephone No.: (520) 399-3203



Approved for use through 10/31/2002. OMB 0651-0031

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**Complete if Known**

<b>Application Number</b>	(Filed Herewith)
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<b>Filing Date</b>	(Filed Herewith)
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<b>First Named Inventor</b>	Robert E. Parks et al.
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Group Art Unit

Examiner Name

Attorney Docket Number	D-2K041A
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Sheet

1

of

2

[illegible][illegible]Date  
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

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First Named Inventor	Robert E. Parks et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	D-2K041A

### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		W.T. WELFORD, "Optical Shop Testing", Daniel Malacara, editor, 2 <sup>nd</sup> edition, John Wiley & Sons, pp. 397-398 (1992)	
		J. Ojeda-Castañeda, "Optical Shop Testing", Daniel Malacara, editor, 2 <sup>nd</sup> edition, John Wiley & Sons, pp. 265-270 (1992)	
		R.E. Parks, "Bright, inexpensive pinhole source", Applied Optics, Vol. 17, No. 16, p. 2469 (1978)	
		M.V. Mantravadi, "Newton, Fizeau, and Haidinger Interferometers", Optical Shop Testing, 2 <sup>nd</sup> Ed, John Wiley & Sons, Inc., pp. 34-35 (1992)	

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